



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-494)

2176#4
PATENT
4.18.02
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OCT 11 2001
Technology Center 2100

IN THE APPLICATION OF:

David James Stevenson et al.

Serial No. 09/897,232

Filed: July 02, 2001

Title Network Management Apparatus
And Method For Determining
Network Events

Examiner:

Group Art Unit:

Confirmation No.:

To be Assigned

2176

9022

BOX DD

Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- Information Disclosure Statement;
- Form PTO-1449;
- Copy of IDS Citations 09/897,232 (6 references);
- Return Receipt Postcard.


2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 3rd day of October, 2001.

By :


Timothy R. Baumann
Reg. No. 40,502



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INFORMATION DISCLOSURE STATEMENT

BOX DD
Commissioner for Patents
Washington, D.C. 20231-9999

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the

references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

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CITED REFERENCES


Other Documents

Citation
Decker et al., "Definitions Of Managed Objects For Bridges", RFC: 1493, July 1993, pages 1-34.
McMaster et al., "Definitions Of Managed Objects For IEEE 802.3 Repeater Devices", RFC: 1516, September 1993, pages 1-40.
S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC:1757, February 1995, pages 1-91.
McCloghrie et al., "Management Information Base For Network Management Of TCP/IP-Based Internets: MIB-II", RFC:1213, March 1991, pages 1-70.
IEEE Standard For Information Technology, "Part 2: Logical Link Control", 802.2, 1998, pages i-239.
IEEE Standard For Information Technology, "Part 3: Carrier Sense Multiple Access With Collision Detection (CSMA/CD) Access Method And Physical Layer Specifications", 802.3, 2000, pages i-1515.

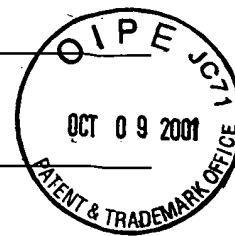
Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: 10/03/01

By: 
Timothy R. Baumann
Registration No. 40,502

Form PTO-1449 (modified)		Atty. Docket No. 01-494	Serial No. 09/897,232
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant David James Stevenson et al.	
		Filing Date: July 02, 2001	Group: 2176
U.S. Patent Documents See Page 1	Foreign Patent Documents See Page 2	Other Art See Page 2	



U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						RECEIVED OCT 11 2001 Technology Center 2100
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
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	A20						

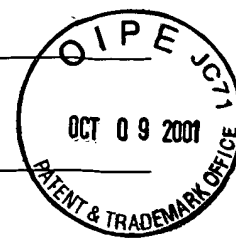
EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)		Atty. Docket No. 01-494	Serial No. 09/897,232
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant David James Stevenson et al.	
		Filing Date: July 02, 2001	Group: 2176
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 2</i>	Other Art <i>See Page 2</i>	



Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Decker et al., "Definitions Of Managed Objects For Bridges", RFC: 1493, July 1993, pages 1-34.
	C2	McMaster et al., "Definitions Of Managed Objects For IEEE 802.3 Repeater Devices", RFC: 1516, September 1993, pages 1-40.
	C3	S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC:1757, February 1995, pages 1-91.
	C4	McCloghrie et al., "Management Information Base For Network Management Of TCP/IP-Based Internets: MIB-II", RFC:1213, March 1991, pages 1-70.
	C5	IEEE Standard For Information Technology, "Part 2: Logical Link Control", 802.2, 1998, pages i-239.
	C6	IEEE Standard For Information Technology, "Part 3: Carrier Sense Multiple Access With Collision Detection (CSMA/CD) Access Method And Physical Layer Specifications", 802.3, 2000, pages i-1515.
	C7	
	C8	
	C9	
	C10	
	C11	
	C12	

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)